

IN THE UNITED STATES DISTRICT COURT
FOR THE SOUTHERN DISTRICT OF NEW YORK

STEPHANIE VALLES, an individual on behalf of herself and all others similarly situated,	x		
	:		
Plaintiffs,	:		
	:		Civil Action
vs.	:		
	:		12 Civ. 9452
HERITAGE PACIFIC FINANCIAL, LLC,	:		
a Texas Limited Liability Company d/b/a	:		
HERITAGE PACIFIC FINANCIAL, an	:		
unknown and unlicensed fictitious entity;	:		
CHRISTOPHER DAVID GANTER,	:		
Individually and in his Official Capacity;	:		
BENJAMIN ALAN GANTER,	:		
Individually and in his Official Capacity;	:		
KENNETH WARREN KNOX,	:		
Individually and in his Official Capacity;	:		
JOHN MARK MONTEIRO; Individually	:		
and in his Official Capacity, and JOHN	:		
AND JANE DOES NUMBERS 1	:		
THROUGH 25,	:		
Defendants.	:		

DEFENDANT HERITAGE PACIFIC FINANCIAL, LLC'S FEDERAL RULE 7.1(a)
CORPORATE DISCLOSURE STATEMENT
AND NOTIFICATION AS TO AFFILIATES

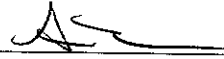
NOW COMES the Defendant HERITAGE PACIFIC FINANCIAL LLC through undersigned counsel, and pursuant to Rule 7.1(a) of the Federal Rules of Civil Procedure, hereby submits its Corporate Disclosure Statement and states as follows:

1. Fed. R. Civ. P. 7.1(a) provides: "[a] non-governmental corporate party must file two copies of a disclosure statement that: 1) identifies any parent corporation and any publicly held corporation owning 10% or more of its stock; or 2) states that there is no such corporation."

2. Defendant HERITAGE PACIFIC FINANCIAL LLC is a Texas Corporation.

HERITAGE PACIFIC FINANCIAL LLC is a privately held corporation.

Respectfully submitted,



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Certificate of Service

The undersigned hereby certifies that a true and correct copy of the foregoing was electronically filed with the Clerk of Court using the CM/ECF system which will send notification of such filing to Abraham Kleinman and William F. Horn, counsels for Plaintiff, on February 27, 2013.



Steven Cohen

File no. 70958